

Financial Adviser Profile

Overview

Since 2015, Stephanie Stafford has developed her expertise in the financial planning industry by engaging in back-office roles related to financial advice. Over the years, she has honed her skills to reach her current professional standing.

Stephanie maintains a solid conviction that each client possesses distinct needs, requiring tailored advice. Through her naturally analytical approach, Steph provides bespoke strategic and investment recommendations to help her clients achieve their goals. Stephanie's clients can rest assured that they will receive relevant and high-quality advice suited to their individual circumstances.

As a mother, daughter, sister and aunty, Stephanie leverages her understanding and personable nature to assist clients in identifying and achieving their unique financial and lifestyle objectives.

Stephanie Stafford is a Sub-Authorised Representative of Goal Financial Services Pty Ltd, Corporate Authorised Representative No. 1272137. Authorised Representative No. 1311911.

Qualifications

Stephanie holds a Master of Financial Planning and meets the competency requirements under ASICs Regulatory Guide RG 146.

Professional Memberships

Stephanie is a student member of the Financial Advice Association Australia (FAAA) and abides by their code of professional conduct and ethics.

Authorisations

Stephanie is authorised as a Provisional Adviser and is able to provide advice and deal (under the supervision of a senior Capstone Financial Adviser) in the following financial products:

- Life Products including Investment Life Insurance Products and Life Risk Insurance Products
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services
- Deposit and Payment Products
- Retirement Savings Accounts ("RSA") products
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government
- Superannuation
- Self Managed Superannuation Funds
- Securities.



Stephanie Stafford

Goal Financial Services

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Stephanie is currently undertaking a Professional Year of work and training in accordance with subsection 921B(4) of the Corporations Act 2001. She is required to be Supervised during this period by either Robert Warry (Authorised Representative No. 309389) or Vicki Martin (Authorised Representative No. 231603) of Goal Financial Services Pty Ltd.

Robert and Vicki will each be responsible for the advice they supervise from Stephanie during this time.

Stephanie is authorised to provide financial advice, conduct client meetings and prepare advice documents only under the supervision of a senior Capstone Financial Adviser.

Goal Financial Services Advice Fees and Charges

Goal Financial Services will be paid by either Financial Planning Advice Fees and/or Commissions as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

Stephanie's fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Stephanie provides the option of ongoing reporting and advisory services. You will be notified of the cost involved prior to the commencement of any ongoing services.

Goal Financial Services pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Stephanie is a salaried employee of Goal Financial Services and will receive a salary/benefit from this company.

You may have been referred to us by another professional such as your solicitor, mortgage broker or accountant. Our client's best interests are important to us, therefore we do not pay or receive referral fees.

Other Benefits Stephanie May Receive

From time to time Stephanie may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

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This Adviser Profile has been authorised for distribution by Capstone Financial Planning Pty Ltd. AFSL No. 223135. This Adviser Profile forms part of the Financial Services Guide (FSG) and is to be read in conjunction with the FSG.