

Financial Adviser Profile

Overview

Robert has been in the financial planning industry since 2001. Robert works closely with his clients on an ongoing basis providing clarity and direction to effectively achieve their goals and dreams. He has an outstanding reputation for providing clients with quality financial advice on appropriate strategies, investments and insurance.

Robert acknowledges that each and every one of his clients has individual and specific requirements. Some of our clients are already financially successful and simply wish to protect and preserve what they have whereas others are seeking to grow their wealth. As a result, each client receives a tailored and unique service designed to ensure that his or her expectations are met and exceeded.

Robert enjoys being a trusted adviser to his clients, their extended networks and families assisting to build a better financial future.

Robert Warry is a Sub-Authorised Representative of Goal Financial Services Pty Ltd, Corporate Authorised Representative No. 1272137. Authorised Representative No. 309389.

Qualifications

Robert holds an Advanced Diploma of Financial Services (Financial Planning) and Diploma of Financial Services (Financial Planning) and meets the competency requirements under ASIC's Regulatory Guide RG 146.

Professional Memberships

Robert is a member of the Financial Advice Association Australia (FAAA) and Australian Institute of Company Directors (AICD), and abides by their code of professional conduct and ethics.

Authorisations

Robert is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products;
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services;
- Deposit & Payment Products;
- Retirement Savings Accounts ("RSA") products;
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government;
- Superannuation;
- Self-Managed Superannuation Funds;
- Securities; and
- Standard Margin Lending Facility.



Robert Warry

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Goal Financial Services Advice Fees and Charges

Our Fees and Charges

As individual as you are, so are our fees, both for initial advice and ongoing service. The time and expertise required to research, calculate, evaluate and discuss your personal financial advice are considered when we provide you with our advice proposal prior to commencing work.

Initial Meeting

Robert offers a complementary initial meeting to discuss how he may be able to help you. If following your initial meeting you engage our services, our fees may comprise of a Statement of Advice Fee, an Ongoing Advice Fee and/or a fee calculated on an Hourly Rate as described below.

Statement of Advice

Your financial advice must be provided in writing and is called a Statement of Advice. Our initial fee for obtaining financial advice includes the time required to prepare a Statement of Advice. Fees will vary based on the scope and complexity of advice. Either a fixed fee will be advised to you or an hourly fee will apply. Our hourly fee range is \$220 to \$550, GST inclusive.

Ongoing Advice Services

We feel it is important to regularly review your financial position. Our ongoing service ensures your individually tailored advice remains appropriate to your circumstances and needs. You will be notified of the cost involved prior to the commencement of any ongoing services.



Goal Financial Services pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Robert is a Director and employee of Goal Financial Services and will receive a salary/benefit from this company.

You may have been referred to us by another professional such as your solicitor, mortgage broker or accountant. Our clients' best interests are important to us, therefore we do not pay or receive referral fees.

Other Benefits Robert May Receive

From time to time Robert may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

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