

Financial Adviser Profile



Overview

Robert Warry is a Director and employee of Goal Financial Services in the Sydney suburb of Macquarie Park. He has been in Financial Services for over 18 years and holds FASEA approved qualifications in Financial Planning.

Robert Warry is a Sub-Authorised Representative of Goal Financial Services Pty Ltd, Corporate Authorised Representative No. 1272137. Authorised Representative No. 309389.

Qualifications

Robert holds an Advanced Diploma of Financial Services (Financial Planning) and Diploma of Financial Services (Financial Planning) and meets the competency requirements under ASIC's Regulatory Guide RG 146.

Professional Memberships

Robert is a member of the Financial Planning Association of Australia (FPA) and is an Aged Care Steps Professional™, and abides by their code of professional conduct and ethics.

Authorisations

Robert is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products;
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services;
- Deposit & Payment Products;
- Retirement Savings Accounts ("RSA") products;
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government;
- Superannuation;
- Self-Managed Superannuation Funds;
- Securities; and
- Standard Margin Lending Facility.



Robert Warry

Goal Financial Services

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Goal Financial Services Advice Fees and Charges

Robert Warry will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

He may charge a placement fee and/or implementation fee to implement the recommendations in your financial plan. These are payable when you decide to proceed with the implementation of any one or more recommendations that he provides to you. The actual fee will depend on the complexity of your situation and the amount of funds invested, but will not exceed:

- a. a range from \$0 (min.) to \$5,500 (max.); or
 - b. \$300 per hour; or
 - c. the maximum of entry fees or contribution fees specified in the Product Disclosure Statement (PDS) for the recommended product;
- whichever is the greater.

Goal Financial Services pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Robert is a Director and employee of Goal Financial Services and will receive a salary/benefit from this company.

Referral Fees for Other Services

I may receive a payment for making a referral to an external specialist such as an accountant, mortgage broker or solicitor. Any amount payable will be paid by the external specialist and will be at no additional cost to you.

If you have been referred to me by an external party and you accept the services I provide, I may make a payment to the external party for that referral. Any amount payable will be disclosed in the SOA provided to you. This will be paid by me to the external party and will be at no additional cost to you.

Other Benefits Robert May Receive

From time to time Robert may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.